STATEMENT OF CONFORMITY

This is to recognize that the management system of:

GATX Corporation Rail North America

Main Site: 2200 Caribou Street West, Moose Jaw, Saskatchewan, S6H 4P4, Canada

has demonstrated to Intertek that on the issue date its Management System conforms with the requirements of:

RCMS®:2023

The management system is applicable to:

Providing lease financing and related services to customers operating rail assets. All sites are dependent on the Chicago head office for management and legal services and activities, design and planning.

Site Specific scope - Repair, refurbish, clean and maintain rail tank cars.

Certificate Number:
0219898

Date of Certification Decision:
07 August 2025

Issuing Date:
07 August 2025

Rathin Grover
President, Business Assurance

Intertek Testing Services NA, Inc. dba Intertek
4700 Broadmoor SE, Suite 200
Kentwood, Michigan 49512, United States

In the issuance of this certificate, Intertek assumes no liability to any party other than to the Client, and then only in accordance with the agreed upon Certification Agreement. This certificate's validity is subject to the organization maintaining their system in accordance with Intertek's requirements for systems certification. Validity may be confirmed via email at certificate.validation@intertek.com or by scanning the code to the right with a smartphone. The certificate remains the property of Intertek, to whom it must be returned upon request

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AUDIT REPORT

American Chemistry Council – Chemistry Industry Association of Canada

Responsible Care® Certification / Verification Report on

“Other Responsible Care® Commitments”

This report and all its content is confidential and remains the property of Intertek.

Document # F103-20-RC
Release Date: 18-MAR-2022

Section 0: Instructions

Section 1 – Basic Audit Data

Section 2 – Team observations concerning the company's CIAC Responsible Care commitments

Section 1: Basic audit data

Client/Address	**Client ID#** 240716
2200 Caribou Street West, Moose Jaw, Saskatchewan, S6H 4P4, Canada	
	Audit Criteria: RCMS:2023 RC14001 RCMS - CIAC COMMITMENTS
	Date(s) of audit Apr 14-17, 2025

Audit Team

Lead Auditor	Christian Mielke
Auditor (if needed)	NA
Auditor (if needed)	
Observer (if needed)	NA
Interpreter (if needed)	NA

Scope of certification:

Providing lease financing and related services to customers operating rail assets. All sites are dependent on Chicago HQ File No. 1058468 for management and legal services and activities, design and planning. Site Specific scope - Repair, refurbish, clean and maintain rail tank cars

Section 2: Team observations concerning the company's CIAC Responsible Care commitments

Element #1 - TransCAER®;

Evidence of participation in TransCAER® activities by the company where it has operations

Auditor Notes:
EHS Manager from Moose Jaw represents all of GATX to TransCAER and is supported by EHS Manager from Red Deer and the VP Operations Management. Example of activities that GATX participates in are:

-Regional TransCAER Activity in Red Deer, AB from Aug 22, 2024 for "Tank Trainer Seminar" hosted by and using GATX donated Tank Car to CIAC and housed at GATX Red Deer facility.
-Quarterly Prairie Region TransCAER meeting. Minutes reviewed: Feb 21, 2024; Dec 4, 2024;
-TransCAER Event - Vancouver, BC: GATX representative participated in March 2025

CIAC does not have any issues with GATX as noted in their communication with CIAC.

		1	2		3	4		5			6	7	8
			TRANSCAER			SHARE		PSM - GSP					
Leadership Group Groupe de leadership	Members Membres	LG Meeting Réunion leadership	Meeting Réunion	Regional Events Événements	NERM PDRI	Meeting Réunion	SHIM data	Meeting Réunion	PRIM data	Self-assessment	Verification Vérification	Annual Commitment Engagement	Comitee Contacts Personnes resource
QC	GATX Rail	X						N/A	N/A	N/A			

Two or more X require your attention --- Deux X ou plus nécessite votre attention

Element #2 - Community

Evidence of the development and communication of a worst-case scenario and other community engagement requirements

Auditor Notes:

RESPONSIBLE CARE HAZARD ASSESSMENT MOOSE JAW SERVICE CENTER, Prepared by: Air Quality Consulting, Arvada, Colorado November 2005 - Updated: 2011. Two different release scenarios were studied:

- A "worst case" release which represents a total and rapid loss of the entire contents of a full tank car. Although potentially catastrophic in nature, the likelihood that this scenario could occur is extremely improbable since company procedures specify that fully loaded cars are not to be directed to the facility. Interviews the railroad would likely intervene prior to moving a full car to the facility. However, in the event that such a car arrived as a result of a delivery error, it would be immediately removed from the facility and placed back in the domain of the delivering railroad with the customer being notified.

- An “alternative release”, which represents a total release of a relatively small amount of residual commodity. This represents the most credible release scenario, as propane tank cars typically contain less than three atmospheres of residual pressure. On this basis, a release of 1000 pounds of propane was used to represent the most credible “alternative release” scenarios.

The methodology and procedure for determining the flammable end point of propane, and the resulting impact on the community are described in the report. For the most credible release scenario (alternative release), the results show that a release of a flammable commodity in an amount that is typically received at the facility will have minimal, if any, impact to the surrounding community.

There is one business directly east of the facility that falls outside of the end point. There are no residences, schools, hospitals, parks or recreational areas that fall within the endpoint. As stated previously in the report and concurred during the audit, the likelihood that a “worst case release” would occur at the Moose Jaw Service Center is highly improbable since a number of errors would have to occur for a fully loaded car to arrive at the facility and then catastrophically fail. In the unlikely event that a worst-case release occurred, impacts to the community could be significant as a relatively large population falls within the flammable endpoint.

Note there have been no significant changes to the operations or community since 2011 that would impact this study.

Element #3 - Participation

Evidence of participation by company leadership in CIAC Responsible Care activities, committees and submission of an annual Responsible Care recommitment letter

Auditor Notes:
Active participation is expected, appropriate to each company’s circumstances, in CIAC committee work, meetings and outreach activities of the association in support of advancing, managing and continually improving Responsible Care across the industry. CIAC does not prescribe how such participation is to take place.

CIAC Committees include:
• SHARE Network*, Process Safety Network, Product Stewardship Network
• Technical Management, Public Affairs and Business and Economics Committees
• TransCAER®, TEAP III and Transport Committees
• Responsible Care Leadership Groups

-SHARE meeting attendance Apr 16, 2025 by VP Operations Management from Moose Jaw facility.
-Leadership Meetings (participate at least once per year) Ontario East, Ontario West and West; Reviewed “Western Leadership Group Meeting” attendance record from Nov 26, 2024 with Moose Jaw EHS Manager Participation representing GATX.
-CIAC Awards Ceremony for 2023 – Oct 2024; GATX received 2 awards – Excellence in Partnership Award and Red Deer EHS Manager received President’s award

EHS Manager from Moose Jaw represents all of GATX to TransCAER and is supported by EHS Manager from Red Deer and the VP Operations Management. Example of activities that GATX participates in are:

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-Quarterly Prairie Region TransCAER meeting. Minutes reviewed: Feb 21, 2024; Dec 4, 2024;
-TransCAER Event - Vancouver, BC: GATX representative participated in March 2025

CIAC does not have any issues with GATX as noted in their communication with CIAC.

		1	2		3	4		5			6	7	8
			TRANSCAER			SHARE		PSM - GSP					
Leadership Group Groupe de leadership	Members Membres	LG Meeting Réunion leadership	Meeting Réunion	Regional Events Événements	NERM PDRI	Meeting Réunion	SHIM data	Meeting Réunion	PRIM data	Self-assessment	Verification Vérification	Annual Commitment Engagement	Committee Contacts Personnes resource
QC	GATX Rail	X						N/A	N/A	N/A			

Two or more X require your attention --- Deux X ou plus nécessite votre attention

Element #4 - Performance

Evidence the company has provided CIAC with benchmarking data and other required data submissions including subcontractor evaluation requirements

Auditor Notes:
Evidence the company has provided CIAC with benchmarking data and other reporting and subcontractor evaluation requirements, these include:

• Annual NERM Data survey (emissions, releases, etc.,) – N/A, members only
• Annual CIAC Supplemental survey (injuries, fatalities, energy use, water use, process safety incidents, transport incidents) – N/A, members only
• Annual TEAP III survey – N/A, members only
• Road Carrier Assessment tool (once/year) – N/A, members only
• Rail Carrier Assessment tool (once/year) – N/A, members only
• Marine Carrier Assessment tool (once/year) – N/A, members only
• Other data collection efforts as directed by CIAC, ACC, ICCA (irregular)

• SHARE data – collected for all Canadian sites – Montreal, Moose Jaw, Red Deer. EHS Manager of Moose Jaw (Responsible for all RC sites) inputs data to CIAC website by the end of April each year. Review of the CIAC website did not provide evidence that the 2024 submittals were completed as of this audit. It is noted that the Organization has until April 30, 2025 to submit this data. Evidence was available that the 2023 data was submitted and it included subcontractor evaluation requirements.

-Transportation Incident Measurement System (TIMS): Not carrier or Transporter of Dangerous Goods.

Element #5 - Commitments

Evidence the company has reviewed its activities against CIAC's Responsible Care Principles and Ethics and its position on sustainability and corporate responsibility

Auditor Notes:

Annually the President and CEO affirms through signature of the Annual Re-commitment letter that GATX reviewed its activities against CIAC's Responsible Care Principles and Ethics and its position on sustainability and corporate responsibility. Reviewed the "2025 Annual Re-Commitment Letter" signed by GASTX President and CEO Robert C. Lyons. GATX has also developed an Operational Excellence Policy which includes the commitment to adhering to the Ethics and Principles (Guiding Principles) both internally and encouraging other stakeholders to adhere to them also. The Policy is signed by Senior Leadership (GATX Rail North America Operational Excellence Policy dated May 23, 2024 posted at the main entrance and strategic locations about the site).

Element #6 - Dialogue

Evidence of stakeholder/community dialogue, including interviews by auditors with local community representatives

Auditor Notes:

EHS Manager Has reached annually to neighboring companies to inform them of GATX Responsible Care program and its activities as well as trying to get a dialogue started pertaining to emergency response and any other pertinent topics. Physically reach out to neighbors with informational fliers however, GATX did not have very much success in terms of discussions with neighbors.

During the audit, GATX arranged a call with Doepker, a manufacturer of semi-trailers, on 4 /16/25. Discussion involved GATX as a neighbor; whether there was need for a collective emergency response, invitation to annual BBQ (Responsible Care and Family Day BBQ) and response to an odor complaint from Sept 21, 2021. Doepker indicated they were very please with the response and attention GATX provided to this incident and how they resolved it in a timely fashion (Record of Community Concern and Complaints Sept 21, 2021 from Doepker Industries available and reviewed). Doepker also communicated that they had participated in the Responsible Car days in years past but were not able to in 2024 due to prior commitments. Doepker indicated that GATX is a good neighbor and they have no concerns or complaints. Doepker and GATX both indicated that there was not a local Advisory Panel involving other companies and/or neighbors or residents that they were aware of. Attempts were unsuccessful during the audit to reach out to Moose Jaw Fire Department for Interviews on Apr 16, 2025.

GATX invites their neighbors to their Responsible Care and activities and efforts to reach out to neighbors and interested stakeholders. Reviewed the community awareness partnership activity reports and flyer invitation (to the GATX Responsible Car and Family Day BBQ) from 2024 Annal RC community awareness visit for:

-North 49 Foods – Aug 8, no response
-DoepKer Ind Ltd – Aug 8, no response
-Eecol Electric (neighbor) – Aug 8 no response (recently vacated building Dec 2024/Jan 2025)
-Moose Jaw Fire Department – Oct 30 – onsite for CSE training

Element #7 – Indigenous Communities

Evidence of engagement with indigenous communities near production facility with respect for their unique history, culture and rights

Auditor Notes:

There are no indigenous communities in the vicinity of the plant. The nearest community is ~40 km from plant.

Element #8 – Promotion/Awareness

Evidence that the company promotes Responsible Care by name and employees are aware of the term.

Auditor Notes:

During the audit, a number of employees in Blasting/Coating, Finishing, Repair, Management, etc. were asked about Responsible Care and "what the term and program meant to them". All employees were able to clearly articulate in their own words what RC was and what it meant to them.

Successful ("excellent"/ "best") practices identified on the audit

DSBO - Daily Safe Start Behavior Observations continue to be conducted Daily. The results are tracked and trended with observations charted on Pareto Diagrams. By developing/tracking a metric correlating the DSBOs to recordable incidents, the organization is better able to use the DSBO data to their advantage.

Installation of the Raptor system to automate the car cleaning process and significantly reduce the employee risks by removing the need to for employees to perform permit confined space entries to enter the car in the process

Non-conformities related to "Other Responsible Care® Commitments"

NCR No.	Level	Clause	Description	Final CAR Due Date:
Not Applicable	Minor/major			
	Minor/major			
	Minor/major			

Opportunities for improvement

While the likelihood of a "worst- case" scenario impact remains minimal and the operations remain relatively unchanged since 2011, GATX may find it beneficial to update the Responsible Care Hazard Assessment in an established minimum reconfirmation period i.e. every X years unless significant changes occur sooner.

Observations concerning the presence of a "Self-Healing" (effective) management system

The "Good Catch" program ensures that employees are not reluctant to report a concern for fear of retribution.
The EHS Manager was observed to be very approachable to the shop employees thus supporting the EHSS culture on site

Observations Concerning the Role of the Responsible Care Ethic and Principles for Sustainability

Responsible Care was observed to be part of the culture and work ethic at the site. Interviews with Plant Manager, Team Leaders and Technicians were able to demonstrate how they prioritized the controls for risk mitigation and why these controls were important to protect environment, health safety and security.
Enter here

Audit Team Conclusions

The audit team conclusions related to the full management system audit are available upon request from «P81».

Audit team's conclusions about the effectiveness of the CIAC Other Responsible Care® Requirements and commitment to Responsible Care® Ethic and Principles:	
☒	Based on the results of the audit, the audit team determined that the CIAC Other Responsible Care Requirements and commitment to Responsible Care Ethic and Principles were effectively implemented and maintained per defined requirements and is deemed capable to achieve expected outputs.
☐	Based on the results of the audit, the audit team determined that the CIAC Other Responsible Care Requirements and commitment to Responsible Care Ethic and Principles were effectively implemented and maintained per defined requirements and is deemed capable to achieve expected outputs, except as noted in the minor nonconformity report(s).
☐	Based on the results of the audit, the audit team determined that the CIAC Other Responsible Care Requirements and commitment to Responsible Care Ethic and Principles were not effectively implemented and maintained per defined requirements nor deemed capable to achieve expected outputs, as noted in the major nonconformity report(s).

Audit recommendations are always subject to ratification by SAI Global certification authority.