

Chemistry Industry Association of Canada Responsible Care® Audit Report

Date June 8, 2026

Auditor/firm: Amtivo_____

As part of the ____RC14001:2023 technical Specification used ____ audit of: *Evonik, Gibbons*
Alberta site

Audit team's conclusions about the effectiveness of the management system:		
☐	Based on the results of the audit, the audit team determined that the management system was effectively implemented and maintained per defined requirements and is deemed capable of achieving expected outputs.	XX
X	Based on the results of the audit, the audit team determined that the management system was effectively implemented and maintained per defined requirements and is deemed capable to achieve expected outputs, except as noted in the nonconformities.	
☐	Based on the results of the audit, the audit team determined that the management system was not effectively implemented and maintained per defined requirements nor deemed capable to achieve expected outputs.	

Audit team's conclusions about the effectiveness of the CIAC Other Responsible Care® Requirements and commitment to Responsible Care® Ethic and Principles:		
☐	Based on the results of the audit, the audit team determined that the CIAC Other Responsible Care Requirements and commitment to Responsible Care Ethic and Principles were effectively implemented and maintained per defined requirements and is deemed capable to achieve expected outputs.	
X	Based on the results of the audit, the audit team determined that the CIAC Other Responsible Care Requirements and commitment to Responsible Care Ethic and Principles were effectively implemented and maintained per defined requirements and is deemed capable of achieving expected outputs, except as noted in the nonconformities.	
☐	Based on the results of the audit, the audit team determined that the CIAC Other Responsible Care Requirements and commitment to Responsible Care Ethic and Principles were not effectively implemented and maintained per defined requirements nor deemed capable of achieving expected outputs.	

1. Description of Company, Audited Facilities, Process and Scope of Audit

Evonik Corporation is a global chemical company with headquarters in Essen, Germany. Evonik has operations in 27 countries on six continents with 6 of those facilities in Canada. Evonik's operating business is organized into three segments: Custom Solutions, Advanced Technologies, and Infrastructure. These segments, directly managed by the Executive Board, are then divided into 14 business lines. Each business line is categorized based on its specific business model and strategic role within the company. Strategies, objectives and measures on the environment, safety, health, quality and security are centrally controlled by the ESHQ function and develop in close cooperation with the operational areas and specialist functions.

An audit of the Evonik company was carried out at the Gibbons AB Hydrogen Peroxide facility. This audit was performed by two qualified auditors against RC14001:2023 and the additional CIAC elements between June 1, 2026 and June 4, 2026.

Scope is "The Manufacturer of Active Oxygen Products". Evonik has developed a management system in accordance with the requirements of the RC14001[®] Technical Specification RC151.07. The site's management system has been found to be in conformance with Responsible Care[®] Management System Technical Specification including the Responsible Care Security Code, Product Safety Code and Process Safety Code and the Canadian Industry Association of Canada (CIAC) RC[®]. Three Minor Non-Conformances (NCs) were identified during the audit.

2. Summary of non-conformances

3 minor non conformances were issued to the facility as follows:

- The facility's contractor management program does not adhere to Evonik's corporate standard.
- The facility's communication program does not include an external complaint handling system.
- The facility was not able to provide a worst case scenario.

3. Summary of opportunities for improvement

Five opportunities for improvement were provided to the facility as follows:

- Consider including additional personnel during the environmental aspects review process to enhance the diversity of opinions.
- Consider better definitions for the aspects and impact columns of the aspects matrix
- Consider including safety devices (such as the presence sensing device on the loading area door) on the PM schedule.
- Consider including a process to routinely verify that those operating vehicles within the gated work environment, have valid driving licenses.
- Consider reviewing industrial hygiene assessment to provide objective evidence that hierarchy of controls takes into consideration.

4. Summary of the evidence relating to CIAC other compliance obligations:

a) The capability of the Other Responsible Care Requirements and commitment to Responsible Care Ethic and Principles to meet applicable requirements and expected outcomes.

The team reviewed many Responsible Care areas and found that the company's management systems comprised of business level and site level procedures to be effective in meeting or exceeding the requirements of RCMS and the Canadian RC® codes. Throughout the assessment, the site management team was able to demonstrate effective management of risks, opportunities and customer requirements while meeting internal and external requirements. All personnel interviewed were knowledgeable of their processes and management systems and were competent.

Evidence of involvement and oversight of key aspects of the management system was demonstrated through Objectives and Targets, performance measures, auditing, compliance obligations, management system documentation, incidents, non-conformities, corrective actions, and management system reviews. Documents are controlled and managed through the MOC process within ESTER.

Internal audits follow the Active Oxygen Business Line requirements. The site uses external company employees to conduct these audits per established frequency and schedule. There is a 3 year schedule established for the site. The internal audits are quite effective with several findings coming from each audit. The audit findings are tracked in the ESTER system.

The site conducts an Active Oxygens Integrated Management Review which is part of the site's annual management review process following the Business Line procedure. The last one was conducted on March 18, 2026. Improvement opportunities were generated as a result of this Management Review process. The site deemed their management systems to be effective, adequate and suitable.

Evidence of effective systems is seen through the absence of significant process and safety events, with the site celebrating 4 years without a loss workday incident during the course of the audit. The site had previously achieved the intra company Sapphire Award for 20 years without a lost time incident

b) Participation in TRANSCAER activities by the company where it has operations

The site is well represented within CIAC with various employees verified as participating in TransCAER®, Share, TIMS, and PSN networks.

c) The development and communication of a worst-case scenario

While Evonik has been a CIAC member company for some time, the Gibbons Hydrogen Peroxide Production Facility has not effectively communicated its Worst Case Scenario. The site's previous worse case scenario involved a large propane tank which no longer exists. The new worse case scenario has not yet been determined, thus not been communicated. A minor non conformance was issued to the facility in this regard.

d) Participation by company leadership in CIAC Responsible Care activities, committees and submission of annual recommitment letter.

The Evonik Canada president, Douglas Woods, signed the annual recommitment letter on Jan 8, 2026. Mr. Woods is also part of the Board of Directors for CIAC.

e) Evidence the company has provided CIAC with benchmarking data and other required submissions.

During the audit, it was confirmed that the site has provided CIAC will all required 2025 submissions for reporting purposes.

f) Evidence the company has reviewed its activities against CIAC's Responsible Care Principles and Ethic, and its position on sustainability and corporate responsibility.

The company has conducted a formal review of its activities against CIAC's Responsible Care Principles and Ethics and reaffirmed its commitment to sustainability and corporate responsibility. The audit covered governance, risk management, environmental and product stewardship, health and safety, and stakeholder engagement, with gaps mapped to concrete action plans and measurable targets aligned to CIAC standards. Responsible Care® commitments are embedded in strategy, supplier codes, and training. The company also provides each employee an annual 8 hour day of volunteerism to be used with local organizations.

g) Evidence of stakeholder/community dialogue including interviews by auditors with local community representatives.

The site resides in an industrial zone, approximately 10 kms east of Gibbons Alberta. The closest residential neighbor is approximately 5 kms away. The site's outreach has been to work with the Life in the Heartland through the association with NCIA (Northeast Capital Industry Association). As such, the site's community dialogue is through the NCIA and not directly with neighbors. This was confirmed during the interview with the executive director of NCIA.

- h) **Evidence that the company promotes Responsible Care by name with internal and external stakeholders and they are aware of the term.**

The site is part of a larger consortium of 25 companies that make up NCIA (Northeast Capital Industry Association) in the region where it operates. NCIA provides, amongst other things, a community outreach program via its 2-3 information meetings per year. This process is at least equivalent to a Community Advisory Panel (CAP).

The welcome sign coming into the administration building proudly boasts the Responsible Care® logo. Responsible Care® is also included in the indoctrination training given to new employees and contractors coming onto the site.

- i) **Engagement with Indigenous communities or groups near production facilities with respect for their unique history, culture and rights.**

The site is respectful of Indigenous Communities. Evonik Canada will also begin the process of posting all jobs on the “Aboriginal Job Board” beginning 2026. The Evonik president, Douglas Woods was asked, and accepted, to be a Panel Speaker at the CIAC September 29, 2025, Truth and Reconciliation Meeting. In 2025, as part of the Truth and Reconciliation process, the company’s Indigenous main event, sent to all Evonik Canada employees, consisted of a live virtual tour of the Mohawk Residential School. Truth and Reconciliation Day will be an ongoing activity each year. The 2026 topic has not yet been finalized.

5. Organizational Strengths, Successful (excellent/best) practices to be shared:

During the audit process, three commendations were given to the facility. The safety indoctrination video given to visitors and contractors, was of very high quality and well received by the auditors. The facility has very good operational controls as demonstrated in the loading of tank rail cars and trucks. The leadership’s outward display of the Responsible Care® mindset was quite evident during the audit.

Individuals interviewed external to the organization:

An interview was held with Patrick Howe, Executive Director, Northeast Capital Industrial Association (NCIA) with which the Gibbons facility interacts. The party spoke very highly of the site’s participation and RC® leadership within the group. Evonik was very much an active participant with this organization.

Auditor(s)

Based on the assessment outcome, the Assessment Team found the site to be compliant with the CIAC elements codes and the RC14001:2023 Technical Specification for the agreed scope, other than the one minor non conformance.

During the 3.5 day audit, by two auditors, Alain Cyr and Elbert Sorrell, the Evonik operating facility listed previously, three minor nonconformity findings were raised. There were no major nonconformity findings raised on activities sampled in the agreed upon audit recertification plan.

As a result, the management system at Evonik is recommended for continued certification to the RC14001:2023 Technical Specification and the additional Canadian CIAC requirements.

Date: June 8, 2026

Lead Auditor Signature: _____Alain Cyr_____



CERTIFICATE OF REGISTRATION

Display Certificate to confirm that
the management system of certificate number: **274549-62769**

Gibbons - Evonik Canada, Inc.

Highway 643 East, Gibbons, Alberta, T0A 1N0, Canada

has been assessed and certified as meeting the requirements of:

RC 14001:2023

B, C, D, E, H, J

Further clarifications regarding the scope of this certificate and the applicability of requirements may be obtained by consulting the certifier.

The validity of this certificate depends on the validity of the main certificate ID: 274549



Main certificate number: 274549
Initial Certification Date: Sunday, July 5, 2009
Current Cycle Start Date: Wednesday, July 3, 2024
Latest Issue Date: Wednesday, October 1, 2025
Expiry Date: Friday, July 2, 2027
Subject to annual assessments.

Certification Structure: Multi

Authorized by

Steve Russell
Chief Governance Officer

amtivo.us

Certificate issued by Amtivo (USA) Inc.

Certification is conditional on maintaining the required performance standards throughout the certified period of registration.
Amtivo (USA) Inc, 7502 W. 80th Avenue, STE. 225 Arvada, Colorado 80003-2128, USA.