

Certificate Of Conformance

This is to certify that the Environmental, Health, Safety and Security Management System of:

BASF Canada

501 Wallrich Avenue
Cornwall Ontario
K6J 2B5
Canada

has been assessed by ABS Quality Evaluations, Inc. and found to be in conformance with the requirements set forth by:

RCMS2®:2023 Option 2

The Environmental, Health, Safety and Security Management System is applicable to:

Manufacturing and Distribution of Plasticizers and Distribution of Materials used in the
Manufacture of Plasticizers and other Industrial Chemicals

Certificate No: **RCMS00011**
Certification structure: **SINGLE**
Effective Date: **13 OCT 2025**



Dominic Townsend, President



American Chemistry Council – Chemistry Industry Association of Canada
Responsible Care® Certification/Verification Model

Report Template of
“other Responsible Care® Commitments”

Submitted by Gigi Bear / ABS-QE to CIAC

As part of the 2025 RCMS®/RC14001® audit of
BASF Canada Inc. – Cornwall Site

Audit team’s conclusions about the effectiveness of the management system:	
X	Based on the results of the audit, the audit team determined that the management system was effectively implemented and maintained per defined requirements and is deemed capable to achieve expected outputs.
<input type="checkbox"/>	Based on the results of the audit, the audit team determined that the management system was effectively implemented and maintained per defined requirements and is deemed capable to achieve expected outputs, except as noted in the nonconformities.
<input type="checkbox"/>	Based on the results of the audit, the audit team determined that the management system was not effectively implemented and maintained per defined requirements nor deemed capable to achieve expected outputs. The audit team recommendation is for “Certification Review”.

Audit team’s conclusions about the effectiveness of the CIAC Other Responsible Care® Requirements and commitment to Responsible Care® Ethic and Principles:	
X	Based on the results of the audit, the audit team determined that the CIAC Other Responsible Care Requirements and commitment to Responsible Care Ethic and Principles were effectively implemented and maintained per defined requirements and is deemed capable to achieve expected outputs.
<input type="checkbox"/>	Based on the results of the audit, the audit team determined that the CIAC Other Responsible Care Requirements and commitment to Responsible Care Ethic and Principles were effectively implemented and maintained per defined requirements and is deemed capable to achieve expected outputs, except as noted in the nonconformities.
<input type="checkbox"/>	Based on the results of the audit, the audit team determined that the CIAC Other Responsible Care Requirements and commitment to Responsible Care Ethic and Principles were not effectively implemented and maintained per defined requirements nor deemed capable to achieve expected outputs. The audit team recommendation is for “Certification Review”.

<p>A- Description of Company, Audited Facilities, Process and Scope of Audit</p> <ul style="list-style-type: none"> - Facility is located 501 Wallrich Ave in Cornwall ON - Areas (sq. ft.) – 37.5 acres total; approx. 8 acre production area - The property is BASF Canada owned - Number of Employees: BASF - 37 - BASF Operating Divisions: CP - Site activities include: Speciality plasticizer production and distribution - Transportation: Rail (80%) and truck (20%) <p>Assets Overview</p> <ul style="list-style-type: none"> - 3 esterification reactors - 33 storage tanks for materials; - Canadian distribution point for CP North America - Quality assurance lab - On-site biological effluent treatment system 	
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- Warehouse for raw materials and finished products
- Utilities
 - o Boiler System
 - o Emergency Generator

B- Summary of the evidence relating to:

- the capability of the management system to meet applicable requirements and expected outcomes.
- the internal audit and management review process.

The facility has an integrated ACC and CIAC RCMS management system program in alignment with the corporate and regional requirements. Programs and continual improvement initiatives are on-going and multiple relevant goals have been implemented focusing on continuous improvements, businesses sustainability, and operation processes effectiveness. The internal audit program is established and is supported by qualified internal and external resources. The management review processes are established with the appropriate participation of affected organization functions. Corrective actions processes are established. Continuous improvement processes are effectively identified through standardized multiple risk assessments and goals setting processes.

C- Summary of the evidence relating to:

- the capability of the Other Responsible Care Requirements and commitment to Responsible Care Ethics and Principles to meet applicable requirements and expected outcomes
 - o The corporate Responsible Care® Policy Statement applies to entire organization
 - o Facility programs and supporting processes follow the business and corporate EHSS & RCMS policies and programs and are fully integrated with the Canadian CIAC Program
 - o MSs programs documents & records are located in multiple electronic systems and are readily accessible through the share point
 - o The organization structure is documented and identifies role and responsibilities and the Responsible Care® / CIAC support functions including regional and corporate assigned staff
 - o RCMS Matrix “road map” is documented mapping the RC requirement to site and corporate specific program procedures and references
 - o The programs are integrated, and policies and standards appropriate to the scope of the business and operation exist and appeared to be well communicated throughout the organization
 - o Management is fully engaged in setting the expectation and providing qualified resources for the RCMS program implementation
 - o Management team are highly focused on operation excellence, risk reduction and continual improvements strategies
- Observations on public engagement and communication of the Worst Case Scenario
 - o Worst Case Scenario event was identified and communicated to the local community per CIAC requirement – reference: Cornwall Community Outreach Letter – 2024. An alcohol release from the reactor (through the rupture disk) due to a vent valve malfunction or weld failure were found to be the worst credible scenario.
 - o Community outreach programs are established with corporate, leadership and employee involvement and are maintained as part of the CIAC program, community and Indigenous Code projects activities – reference: Responsible Care Commitment & Community Outreach Scope. Most recent, the site established employee mandatory Indigenous History & Cultural Awareness Training.
 - o BASF is active in the Cornwall community, supporting science education, ecology, health and safety

programs and other local community-based initiatives through monetary donations and employee volunteer efforts. The site has supported local organizations, including the boys & girls club, River institute, CMHA, local sports team, hosting high school student level 11-12 chemistry student on site for introduction to BASF processes & tour, COP program for engineering student training & potential hire, donation to St Lawrence college lab upgrade and site manager is a member of the lab tech program for curriculum standards.

- Summary and observations of site meeting with Community Representatives
 - Site manager discussion with the community representative (Jason Jesmer: jjesmer@na.drakeintl.com) verified appropriate level of site communication and site stakeholder engagement and contribution to the various sponsored partners & surrounding community activities as part of the BASF organization.
- Successful (excellent/Best) Practices to be shared
 - Successful Integration of BASF RCMS / CIAC Platform
 - Sustained safety culture & evergreen risk prioritization processes
 - Effective & Transparent internal & external communication
 - Focused initiatives for proactive employees & community engagement
- Opportunities for Improvement identified
 - None identified

D- Note that beginning in 2020, new Indigenous Code Elements apply for those facilities located near indigenous communities or where these companies may be significantly impacted by their operations.

- Program is fully established by corporate & site

Auditor conclusion Statement:

The facility integrated ACC and CIAC RCMS management system program is successfully implemented and capable to address the relevant environmental, health, safety and security concerns at the Cornwell facility. Continuous Improvement efforts are consistently evaluated to sustain performance in accordance to the BASF EHS&S Management System expectations.

Auditor(s): Gigi Bear

Date: 09/20/2025