

# DQS Inc. Audit Report



## CIAC ADDENDUM to: RC®-14001 Audit Report

BR#: 10018028

Standard(s):	RC®-14001:2015 Responsible Care® Management System
Audit Type:	<input type="checkbox"/> 1 <sup>st</sup> Surveillance <input type="checkbox"/> 2 <sup>nd</sup> Surveillance <input checked="" type="checkbox"/> Recertification
Type of registration:	<input type="checkbox"/> Single site <input checked="" type="checkbox"/> Multi Site

Organization Name: Address: City, State, Zip: Country:	<b>LANXESS Canada Co./Cie</b> <b>West Hill Facilities</b> <b>565 Coronation Drive</b> <b>Toronto</b> <b>Ontario</b> <b>M1E 2K3</b> <b>Canada</b>	
Organization representative:	Katherine Down – Plant Manager	
Audit Dates:		
DQS audit team:	Name	Role
	Katherine Down	Plant Manager
	Liselle Pereira	HSE Manager
	Sarah Robinson	Production Manager
	Rodney Shearer	Quality Manager

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<b>Certificate scope</b>	9K – Manufacture, design, logistics, and supply of specialty organic chemicals, industrial chemicals and lubricant additives. RC – Manufacture, design, logistics, and supply of specialty organic chemicals, industrial chemicals and lubricant additives.
<b>Primary industry code ( EA Code)</b>	12

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Number of employees at this site:	100
Boundaries of the site:	23 acres, 13 for production facility is fenced in, 3 gates, key card controlled

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## 1. Recommendation

Thank you for your cooperation during the recent audit of your organization. This report includes additional information to satisfy the requirements of Chemistry Industry Association of Canada

### The audit team recommends to DQS:

<b>Audit team's conclusions about the effectiveness of the management system:</b>	
<input checked="" type="checkbox"/>	Based on the results of the audit, the audit team determined that the management system was effectively implemented and maintained per defined requirements and is deemed capable to achieve expected outputs.
<input type="checkbox"/>	Based on the results of the audit, the audit team determined that the management system was effectively implemented and maintained per defined requirements and is deemed capable to achieve expected outputs, except as noted in the nonconformities.
<input type="checkbox"/>	Based on the results of the audit, the audit team determined that the management system was not effectively implemented and maintained per defined requirements nor deemed capable to achieve expected outputs. The audit team recommendation is for "Certification Review".

<b>Audit team's conclusions about the effectiveness of the CIAC Other Responsible Care® Requirements and commitment to Responsible Care® Ethic and Principles:</b>	
<input checked="" type="checkbox"/>	Based on the results of the audit, the audit team determined that the CIAC Other Responsible Care Requirements and commitment to Responsible Care Ethic and Principles were effectively implemented and maintained per defined requirements and is deemed capable to achieve expected outputs.
<input type="checkbox"/>	Based on the results of the audit, the audit team determined that the CIAC Other Responsible Care Requirements and commitment to Responsible Care Ethic and Principles were effectively implemented and maintained per defined requirements and is deemed capable to achieve expected outputs, except as noted in the nonconformities.
<input type="checkbox"/>	Based on the results of the audit, the audit team determined that the CIAC Other Responsible Care Requirements and commitment to Responsible Care Ethic and Principles were not effectively implemented and maintained per defined requirements nor deemed capable to achieve expected outputs. The audit team recommendation is for "Certification Review".

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## 2. CIAC Elements Review

The alignment between CIAC and RC14001 were reviewed with the following evidence shows acceptance:

Auditor topic	Results and evidence
Evidence of participation in TransCAER® activities by the company where it has operations;	<p>CAER-Group, communication as a neighbourhood. CN service (rail road) through the CIAC.</p> <p>Metro Linx is part of CAER-Group.</p> <p>Dow-Chemical is part of the CAER-Group as well as the fire department, City of Toronto, Halltech, Entegris, Henry. Coordinate community engagement and inform on emergency response preparedness.</p> <p>Community siren Test June 17th 2023.</p> <p>Weekly incident investigation team, hazards, incidents include new operators.</p> <p>Close relationship with Toronto Fire Services, in 2022 &amp; 2023 hosted 500+ firefighters and 70+ response vehicles for on site training on fire systems, protection measures, and emergency response including observing oleum offloading.</p> <p>Performance of employees, understanding risks and consequences, promoting culture and improvements, encouraging openness.</p> <p>Leadership as well as operators have been well trained and encouraged in these topics.</p> <p>Lanxess is the owner of the CAP meeting (West Hill Community Advisory Panel Meeting June 19<sup>th</sup> 2023).</p> <p>The CAER Committee was joined by HSE manager (Liselle Pereira), HSE Expert (Miriam Chakroun), Katherine Down (Plant Manager) at May 3<sup>rd</sup> 2023:</p> <p>Toronto East Community Awareness and Emergency Response (CAER) Committee Meeting #2023-2 in person and virtual.</p> <p>CS#9207464.</p>
Evidence of the development and communication of a worst-case scenario and other community engagement requirements;	<p>December 2nd 2022 held a full-scale oleum release exercise in conjunction with Toronto Fire Services, simulating the release of oleum from a trailer during offloading activities, CAP</p>

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	members were involved as observers. Shift supervisors & management attending the 2019 Veolia Spill School at the Nevada Nuclear Testing Site, allowing for real-life response training to oleum releases & remediation.
Evidence of participation by company leadership in CIAC Responsible Care activities, committees and submission of an annual Responsible Care recommitment letter;	The CAER Committee was joined by HSE manager (Liselle Pereira), HSE Expert (Miriam Chakroun), Katherine Down (Plant Manager) at May 3 <sup>rd</sup> 2023: Toronto East Community Awareness and Emergency Response (CAER) Committee Meeting #2023-2 in person and virtual. CS#9207464.  CAP-Meeting June 2023.
Evidence the company has provided CIAC with benchmarking data and other required data submissions including subcontractor evaluation requirements;	The information is submitted through the headquarter to CIAC:  Production total (20938 t)  Recycling Total Material Recycled (t) 109,7  Total waste (t) 15001,0  Hazardous waste for disposal (t) 5877,7  Date submission confirmation June 16 <sup>th</sup> 2023: SHIM (Safety and Health Incident Metrics by the headquarter:  Contractor exposure hours 59478.  Near miss information provided, incidents.
Evidence the company has reviewed its activities against CIAC's Responsible Care Principles and Ethics and its position on sustainability and corporate responsibility;	Management Review: 26.01.2023
Evidence of stakeholder/community dialogue, including interviews by auditors with local community representatives;	Interview 13.07.2023 with Don York (Community member)  CAP-Meeting June 2023.  CAER Committee May 3 <sup>rd</sup> 2023
Evidence of engagement with indigenous communities near production facility with	There are no active indigenous communities and there is no impact on indigenous

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respect for their unique history, culture and rights; and,	communities in the area of Lanxess West Hill. 30.09.2022 marks the National Day for Truth and Reconciliation.
Evidence that the company promotes Responsible Care by name and employees are aware of the term.	Part of the onboarding process, earth day. Ways to support community.